

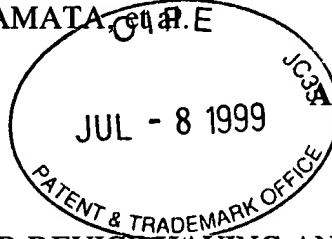
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Akito KURAMATA, et al. E

Serial No.: 09/313,764

Filed: May 18, 1999



ATTN: BOX MISSING PARTS

Group Art Unit: 2812

FOR: OPTICAL SEMICONDUCTOR DEVICE HAVING AN EPITAXIAL LAYER OF III-V COMPOUND SEMICONDUCTOR MATERIAL CONTAINING N AS A GROUP V ELEMENT

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

July 8, 1999

Dear Sir:

In response to the Notice to File Missing Parts of Application dated June 9, 1999, enclosed for filing is an executed Declaration and Power of Attorney and (2) Certified Priority Documents for the above-identified patent application.

Also enclosed is our check in the amount of \$1352.00, for the government filing fee of \$760.00, \$462.00 for extra claims and the surcharge fee of \$130.00 for filing the late Declaration. In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI
McLELAND & NAUGHTON

Donald W. Hanson
Attorney for Applicant(s)
Reg. No. 27,133

Atty. Docket No. 990527
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1725 K Street, N.W.
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(202) 659-2930
DWH/llf



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/313,764	05/18/99	KURAMATA	A 990527

ARMSTRONG WESTERMAN HATTORI
MCLELAND & NAUGHTON

1775 K STREET N.W. SUITE 1000L - 8 1999
WASHINGTON DC 20006

NOT ASSIGNED

2812

DATE MAILED:

06/09/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1352.40.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 72.00 for 4 total claims over 20.
\$ 78.00 for 5 independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
☒ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

M. Middleton
Customer Service Center
Initial Patent Examination Division (703) 308-1202

09/313764

06/09/1999 TLJH11

760.00
390.00
72.00
130.00

04 FC:105
03 FC:103
02 FC:102
01 FC:101